

08/22/01  
JC853 U.S. PTO

Practitioner's Docket No. 270\_094CIPofNP

PATENT

NEW APPLICATION TRANSMITTAL

Box Patent Application  
Assistant Commissioner for Patents  
Washington D.C. 20231

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to the Box Patent Application, Assistant Commissioner for Patents, Washington D.C. 20231 on August 22, 2001, under "EXPRESS MAIL" mailing label number EI 608675416US.

Christine M. Holmes

JC986 U.S. PTO  
09/934502  
08/22/01

Transmitted herewith for filing is the patent application of:

Inventor 1: Philipp G. Kornreich  
Address: 103 Redwood Drive, N. Syracuse, NY 13212

Inventor 2: James Flattery  
Address: 403 Meadow Road, Syracuse, NY 13219

Inventor 3: Douglas V. Keller, Jr.  
Address: 2724 Lafayette Road, Lafayette, NY 13084

For: METHOD OF FABRICATING A CYLINDRICAL OPTICAL FIBER  
CONTAINING A LIGHT INTERACTIVE FILM

1. Type of Application

This is a request for filing a CONTINUATION-IN-PART APPLICATION under 37 C.F.R. §1.53(b), of pending prior application:

Serial No.: 09/186,189 Prior Art Unit: 1731  
Filed: 11/4/98 Prior Examiner: Hoffmann, J.  
Title: METHOD OF FABRICATING A CYLINDRICAL OPTICAL FIBER  
CONTAINING AN OPTICALLY ACTIVE FILM  
Inventors: Philipp G. Kornreich, James Flattery, and Douglas V. Keller, Jr.,

2. Papers Enclosed

A. Required for filing date under 37 CFR 1.53(b) (Regular) are:

16 Page(s) of Specification  
02 Page(s) of Claims  
01 Page(s) of Abstract  
07 Sheets of Formal Drawings (Figs. 1-7)

B. Other Papers Enclosed

[x] A combined Declaration and Power of Attorney

[x] Information Disclosure Statement

[x] Form PTO-1449

[x] An Assignment Transmittal and Assignment of the invention to  
Syracuse University

3. Additional Papers to Follow Under Separate Cover

4. ☒ Amend the specification by inserting after the title and before the first line the sentence:

**--Cross Reference to Related Application**

This is a continuation-in-part application of U.S. Serial No. 09/186,189, filed November 4, 1998, the entirety of which is incorporated herein by reference.--

**5. Relate Back**

**A. 35 U.S.C. 119(e)**

- ☒ Priority of provisional application Serial No. 60/090,995, filed June 29, 1998, is hereby claimed under 35 U.S.C. §119(e).

**B. 35 U.S.C. 120, 121 and 365(c)**

- ☒ Priority of said prior application 09/186,189, filed November 4, 1998, is hereby claimed under 35 U.S.C. §120.

**C. 35 U.S.C. 119 Priority Claim for Prior Application**

- ☐ Priority of foreign application(s) is hereby claimed under 35 U.S.C. §119 as follows:

Application filed

- ☐ A certified copy of the above corresponding foreign application was filed in prior application Serial No. on .  
☐ A certified copy of the above corresponding foreign application is filed herewith.

**6. Small Entity Status**

- ☒ Applicant claims small entity status. (See 37 CFR 1.27)

**7. The filing fee has been calculated as shown below:**

**A. Filing Fee**

- ☒ Original Patent Application \$ 355.00  
(37 C.F.R. 1.16(a) --\$710.00; Small Entity--\$355.00)

**B. Fees for Claims (6 Claims; 2 Indep.)**

- ☐ each independent claim in excess of 3  
(37 C.F.R. 1.16(b) --\$80.00; small entity--\$40.00) \$ .00

- ☐ each claim in excess of 20  
(37 C.F.R. 1.16(c) --\$18.00; small entity--\$9.00) \$ .00

- ☐ multiple dependent claim(s)  
(37 C.F.R. 1.16(d) --\$270.00; small entity--\$135.00) \$ .00

C. Surcharge fees

☐ late payment of filing fee \$\_\_\_\_\_00  
(37 C.F.R. 1.16(e)--\$130.00; small entity--\$65.00)

and/or

☐ late filing of original declaration or oath \$\_\_\_\_\_00  
(37 C.F.R. 1.16(e)--\$130.00; small entity--\$65.00)

NOTE: If both the filing fee and declaration or oath were missing from the original papers, only one surcharge fee for both need be paid. 37 C.F.R. 1.16(e).

D. ☐ Petition and fee for filing by other than all the inventors or a person not the inventor \$\_\_\_\_\_00  
(37 C.F.R. 1.17(i) and 1.47--\$130.00)

E. ☐ Fee for processing an application filed with a specification in a non-English language \$\_\_\_\_\_00  
(37 C.F.R. 1.17(k) and 1.52(d)--\$130.00)

F. ☒ Assignment Fee \$ 40.00

**Total Fees Due \$ 395.00**

**8. Payment of Fees and Authorization to Charge Additional Fees or Credit Overpayment**

☒ A check in the amount of \$395.00 is enclosed.

☐ Charge Deposit Account 50-0289 in the amount of .

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0289. A duplicate copy of this sheet is enclosed.

☒ Any additional filing fees required under 37 CFR 1.16.

☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

August 22, 2001

Date

  
Owen D. Marjama

Reg. No. 22,818

ODM/cmh

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Customer No.: 20874

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

August 22, 2001

Philipp G. Kornreich, et al.

Ser. No.: Not Assigned

Filed: Concurrently Herewith

For: METHOD OF FABRICATING A CYLINDRICAL OPTICAL FIBER  
CONTAINING A LIGHT INTERACTIVE FILM

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Christine M. Holmes

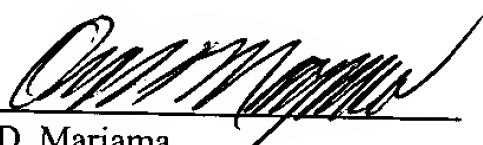
REQUEST FOR NONPUBLICATION OF APPLICATION  
UNDER 35 U.S.C. §122(b)  
37 C.F.R. §1.213(a)

Sir:

1. This request is being submitted with this application on filing.
2. It is requested that this application not be published under 35 U.S.C. §122(b).
3. This is to certify that the invention disclosed in this application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

Respectfully submitted,

WALL MARJAMA & BILINSKI

By:   
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PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

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